Electronic Acknowledgement Receipt				
EFS ID:	2361716			
Application Number:	10820876			
International Application Number:				
Confirmation Number:	9271			
Title of Invention:	System and method for integrating a convertible security with a call spread			
First Named Inventor/Applicant Name:	Daniel Breen			
Customer Number:	27510			
Filer:	Eric L. Sophir/Terry Evans			
Filer Authorized By:	Eric L. Sophir			
Attorney Docket Number:	SSB0005			
Receipt Date:	24-OCT-2007			
Filing Date:	09-APR-2004			
Time Stamp:	12:34:40			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment - After Non-Final Rejection	SSB0005-US_913185_1.pdf	138745	no	12
			ac6354f4ac1d4177c59b236f30fe78812 485998a		
Warnings:					

Information:					
Total Files Size (in bytes):	138745				

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.